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PATENT
89188.0058

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Thomas P. Hedman

Serial No: 10/786,861

Filed: February 24, 2004

For: Non-Toxic Crosslinking Reagents To
Resist Curve Progression in Scoliosis
and Increase Disc Permeability

Art Unit: 1651

Examiner: Not Assigned

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February 8, 2005

Date of Deposit

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Name

February 8, 2005

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Date

TRANSMITTAL OF POWER OF ATTORNEY

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Enclosed is the following:

- ☒ An executed Power of Attorney To Prosecute Applications Before the U.S.P.T.O.
(PTO/SB/80)
- ☒ Statement Under 37 CFR 3.73(b)
- ☒ A copy of the Assignment
- ☒ A copy of the Recordation Form Cover Letter

The Commissioner is authorized to charge any underpayment to our Deposit Account
No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: February 8, 2005

By: 

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Phone: 213-337-6700

Fax: 213-337-6701



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Shirley Ferguson

Name

February 8, 2005

Signature

Date

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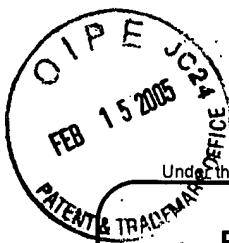
By: 

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

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500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
Fax: 213-337-6701



PTO/SB/81 (11-04)

Approved for use through 11/30/2005. OMB 0651-0035

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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	10/786,861
Filing Date	February 24, 2004
First Named Inventor	Thomas P. Hedman
Title	Non-Toxic Crosslinking...
Art Unit	1651
Examiner Name	Not Assigned
Attorney Docket Number	89188.0058

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

26021

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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OR

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<input type="checkbox"/> Firm or Individual Name				
Address				
City		State		Zip
Country				
Telephone		Fax		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

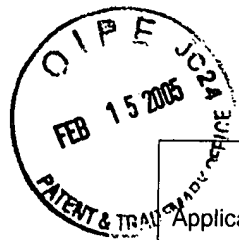
Signature		Date	1/28/05
Name	Dennis F. Dougherty	Telephone	
Title and Company	Sr. V.P., Admin.		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Thomas P. Hedman

Application No./Patent No.: 10/786,861 Filed/Issue Date: February 24, 2004

Entitled: Non-Toxic Crosslinking Reagents To Resist Curve Progression in Scoliosis and Increase Disc Permeability

University of Southern California, a University

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is [PERCENTAGE]%

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel [REEL NUMBER], Frame [FRAME NUMBER], or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: [ASSIGNOR] To: [ASSIGNEE]

The document was recorded in the United States Patent and Trademark Office at Reel [REEL], Frame [FRAME], or for which a copy thereof is attached.

2. From: [ASSIGNOR] To: [ASSIGNEE]

The document was recorded in the United States Patent and Trademark Office at Reel [REEL], Frame [FRAME], or for which a copy thereof is attached.

3. From: [ASSIGNOR] To: [ASSIGNEE]

The document was recorded in the United States Patent and Trademark Office at Reel [REEL], Frame [FRAME], or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Dennis F. Dougherty
Signature

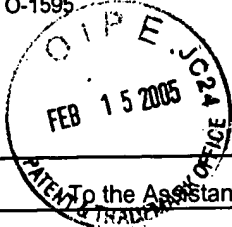
January 28, 2005
Date

Printed or Typed Name
Dennis F. Dougherty
Sr. V.P., Admin.
Title

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 122 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent and Trademark Office

Attorney Docket No.: 89188.0058
Patent Application No. 10/786,861

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas P. Hedman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 21, 2004

2. Name and address of receiving party(ies):

University of Southern California
3716 S. Hope Street, Suite 313
Los Angeles, California 90007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/786,861

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

William E. Thomson, Jr.
HOGAN & HARTSON L.L.P.
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1314

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Lawrence J. McClure

Date: August 17, 2004

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Assignment - After - Sole

Patent Application No. 10/786,861
Attorney Docket No. 89188.0058
SOLE

ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, I, Thomas P. Hedman,

hereby sell, assign, transfer and convey unto The University of Southern California,

a nonprofit organization, having a place of business at

3716 S. Hope Street, Suite 313, Los Angeles, California 90007

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

NON-TOXIC CROSSLINKING REAGENTS TO RESIST CURVE PROGRESSION IN SCOLIOSIS AND INCREASE DISC PERMEABILITY

and described in an application for Letters Patent of the United States filed by me on February 24, 2004 and which has been accorded Application No. 10/786,861

and in and to said application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and I hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND I authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

AND I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND I hereby covenant that I have the full right to convey the entire right, title and interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I hereby covenant and agree that I will communicate to said Assignee or nominees all facts known to me pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: 

Thomas P. Hedman

Date: 21 July 04